



PATENT

Case Docket No. TSAI24.001AUS  
Date: October 23, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : LAU et al.

Appl. No. : 10/612,530

Filed : July 2, 2003

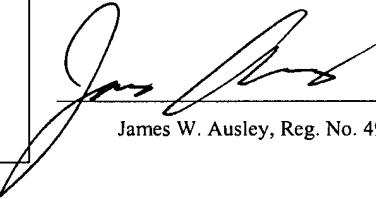
For : METHOD AND APPARATUS FOR  
PREPARING ALUMINUM NITRIDE

Examiner : Unknown

Art Unit : 1754

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 23, 2003  
(Date)

  
James W. Ausley, Reg. No. 49,076

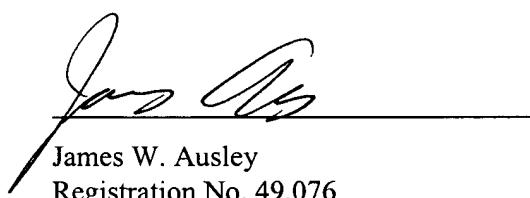
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement
  - (X) A PTO Form 1449 with six (6) enclosed references
  - (X) Return prepaid postcard
- 
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

  
James W. Ausley  
Registration No. 49,076  
Agent of Record  
Customer No. 20,995  
(909) 781-9231

**INFORMATION DISCLOSURE STATEMENT**

Applicants : LAU et al.  
App. No. : 10/612,530  
Filing Date : July 2, 2003  
For : METHOD AND APPARATUS FOR  
PREPARING ALUMINUM NITRIDE  
Examiner : Unknown  
Group Art Unit : 1754

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

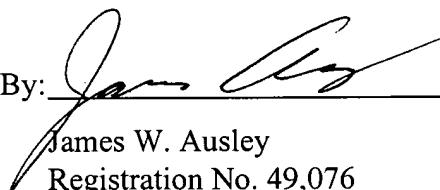
Enclosed is form PTO-1449 listing six (6) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/20/03

By: 

James W. Ausley  
Registration No. 49,076  
Agent of Record  
Customer No. 20,995  
(909) 781-9231

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. TSAI24.001AUS	APPLICATION NO. 10/612,530
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  <i>O I P E</i> (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT LAU et al.	
		FILING DATE July 2, 2003	GROUP 1754

OCT 27 2003  
PATENT & TRADEMARK OFFICE 609

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1	JP 63-274605	11/11/88	Japan			abstract only	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
2	"Preparation of Mixed Ultrafine (Al+AlN) Powders and Their Nitridation" by Uda et al; Physical Chemistry of Powder Metals Production; The Minerals, Metals & Materials Society (1989)					
3	"Combustion Synthesis Using Microwave Energy" by Clark et al; Ceram. Eng. Sci Proc. 11[9-10], pp. 1729-1742 (1990)					
4	"New Ceramic Processing Approaches Using Combustion Synthesis Under Gas Pressure" by Miyamoto; Ceramic Bulletin, Vol. 69, No. 4, pp. 686-690 (1990)					
5	"Aluminum Nitride, a Refractory for Aluminum for 2000" by Long et al; Journal of American Ceramic Society, Vol. 42, No. 2, pp. 53-59 (Feb. 1, 1959)					
6	"Comprehensive Treatise On Inorganic and Theoretical Chemistry" by Mellor; Vol. VIII, Nitrogen and Phosphorus. Longmans, Green and Co., New York, pp. 111-112 (1928)					

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102003

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	